

# Evrofinance Mosnarbank



# Tariffs

of EVROFINANCE MOSNARBANK  
for Depository Services  
(effective from 01 January, 2018)

2018

## TABLE OF CONTENTS

<b>GENERAL PROVISIONS</b>	<b>3</b>
1. TARIFF FOR CUSTOMERS WHO SIGNED THE INDIVIDUAL INVESTMENT ACCOUNT CONTRACT OR THE BROKER SERVICE CONTRACT	4
2. BASE TARIFFS	5
3. DOMESTIC AND FOREIGN BONDS DENOMINATED IN FOREIGN CURRENCY	5
4. GOVERNMENT, MUNICIPAL AND CORPORATE BONDS DENOMINATED IN RUBLES	6
5. SHARES AND EQUITY INTERESTS	7
6. DEPOSITARY RECEIPTS AND OTHER DERIVATIVE PRODUCTS	7
7. REGISTRATION OF THE SECURITIES PLEDGE AND ENCUMBRANCE BY LIABILITIES	8
8. CORPORATE ACTIONS	8
9. INFORMATION OPERATIONS	9
10. FOREIGN FINANCIAL INSTRUMENTS (under Agreement of provision of accounting services for foreign financial instruments, not classified as securities)	9

## GENERAL PROVISIONS

All additional expenses related to the collaboration of Depository with third parties (except custody and transfer of securities within the Non-profit organization National Settlement Depository (joint stock venture)) should be paid at cost separately.

The commission fee for remittances, currency conversion, international settlements etc. should be charged according to the current tariffs of Evrofinance Mosnarbank.

Tariffs for services demanded by the Customer but not stipulated herein, are subject to the special stipulation in the Customer's contract.

The commission amounts calculated as percentage from the securities nominal value expressed in foreign currency, and also denominated in foreign currency, should be recalculated in Rubles at the rate of Central Bank of Russia for the last day of the month in review.

The commission amounts paid by the Customer in foreign currency should be calculated by the Customer converting the amount of issued invoice expressed in Rubles through the Central Bank of Russia's rate for the date of payment.

The Depository services are not subject to VAT (except numeral 10.1.2 of the present tariff).

## 1. TARIFF FOR CUSTOMERS WHO SIGNED THE INDIVIDUAL INVESTMENT ACCOUNT CONTRACT OR THE BROKER SERVICE CONTRACT

№	Operation	Charge	Note
1.1	Maintenance of securities account		
1.1.1	Maintenance of security account	300 RUB/month	The commission is charged for operations performed or if the securities balance is maintained for not less than 1 day per month and includes the registering of incoming documents, processing of instructions, reporting on securities account operations, extracts from the securities account
1.1.2	Maintenance of trading account	200 RUB/month	The commission is charged for operations performed or if the securities balance is maintained for not less than 1 day per month and includes the registering of incoming documents, processing of instructions, reporting on securities account operations, extracts from the securities account
1.2	Custody		
1.2.1	Custody of securities and registering the property rights	included in the account maintenance	
1.3	Transfers, settlements		
1.3.1	Settlements on MICEX transactions	included in the account maintenance	
1.3.2	Operations on securities account (except the exchange transactions)	according to the numerals 2-6 of the present tariff	
1.4	Fixing the collateral and covenants	according to the numeral 7 of the present tariff	
1.5	Corporate activities	according to the numeral 8 of the present tariff	

No	Operation	Charge	Note
1.6	Information operations	according to the numeral 9 of the present tariff	

## 2. BASE TARIFFS

No	Operation	Charge	Note
2.1	Maintenance of depo accounts		
2.1.1	Opening/closing of a depo account	included in account service	
2.1.2	Service of a depo account (transactions or security balance at least 1 day a month)	500 RUB monthly	including: registration of incoming documents and preprocessing of orders, reports on depo accounts movement, statement of a depo account
2.2	Opening and management of an individual client account in the international clearing house:		
2.2.1	Euroclear Bank SA/NV	200 EUR per month	
2.3	Opening of a nominal holder's account with a superior depository or registrar under the depositor's order	5,000 RUB	
2.4	Delivery of securities		
2.4.1	To the EVROFINANCE MOSNARBANK's Depository	3,000 RUB per transaction	
2.4.2	From the EVROFINANCE MOSNARBANK's Depository	3,000 RUB per transaction	

## 3. DOMESTIC AND FOREIGN BONDS DENOMINATED IN FOREIGN CURRENCY

No	Operation	Charge	Note
3.1	Custody		
3.1.1	Custody of securities and accounting of title:		
	• Average monthly account balance (at face value): 0 - 999 999 USD	0.0075% monthly, minimum 500 RUB	
	• Average monthly account balance (at face value): 1 mln - 10 mln USD	0.0065% monthly	
	• Average monthly account balance (at face value):	0.0055% monthly	

No	Operation	Charge	Note
	over 10 mln USD		
3.2	Transfers , settlements		
3.2.1	Settlements exempt from payments	500 RUB per transaction	
3.2.2	Settlements against payment	1000 RUB per transaction	
3.2.3	Cancellation or change of the order on the Customer's request	just as for the new order (transaction)	
3.2.4	Change of securities custody upon the depositor's order	700 RUB per transaction	

#### 4. GOVERNMENT, MUNICIPAL AND CORPORATE BONDS DENOMINATED IN RUBLES

No	Operation	Charge	Note
4.1	Safekeeping		
4.1.1	Safekeeping and accounting of securities		
	• Average monthly account balance (at face value): 0 - 999 999 999 RUR	0.004% monthly, minimum 500 RUB	
	• Average monthly account balance (at face value): 1 000 000 000 – 1 999 999 999 RUR	0.003% monthly	
	• Average monthly account balance (at face value): over 2 000 000 000 RUR	0.002 % monthly	
4.1.2	Safekeeping and accounting of securities with expired redemption period	included in account maintenance	
4.2	Transfers , settlements		
4.2.1	Relocation of securities custody on the depositor's request	700 RUB per transaction	
4.2.2	Account transfers without relocation of custody on the depositor's request	500 RUB per transaction	from each deponent - bargainer
4.2.3	Account transfers with relocation of custody on the depositor's request	700 RUB per transaction	from each deponent - bargainer
4.2.4	Debiting of securities from a depo account (apart from exchange dealings)	1000 RUB per transaction	
4.2.5	Settlement of transactions at the Moscow Central	included in	

No	Operation	Charge	Note
	Stock Exchange	account maintenance	

## 5. SHARES AND EQUITY INTERESTS

No	Operation	Charge	Note
5.1	Custody		
5.1.1	Custody of securities and accounting of title (place of securities custody – Non-profit organization National Settlement Depository (joint stock venture))	0.00312 % of the weighted average balance of securities at their market value, at least 500 RUB	
5.1.2	Custody services for non-marketable securities and ownership rights registration (the securities to be kept at the Non-profit organization National Settlement Depository (joint stock venture))	100 RUB per month per issue	
5.1.3	Securities custody and ownership rights registration (the securities to be kept elsewhere)	1000 RUB per month per issue	
5.2	Transfers , settlements		
5.2.1	Relocation of securities custody on the deponent's request	700 RUB per transaction	
5.2.2	Account transfers without relocation of custody on the deponent's request	500 RUB per transaction	from each deponent - bargainer
5.2.3	Account transfers with relocation of custody on the deponent's request	700 RUB per transaction	from each deponent - bargainer
5.2.4	Withdrawal of securities from a custody account (apart from exchange transactions)	1000 RUB per transaction	
5.2.5	Cancellation or change of the order on the customer's request	as for the new order/transaction	
5.2.6	Settlement of transactions at the Moscow Central Stock Exchange	included in account maintenance	

## 6. DEPOSITARY RECEIPTS AND OTHER DERIVATIVE PRODUCTS

No	Operation	Charge	Note
6.1	Custody		
6.1.1	Custody of securities and accounting of title	700 RUB monthly for securities issue	

No	Operation	Charge	Note
6.2	Transfers , settlements		
6.2.1	Settlements exempt from payments	500 RUB per transaction	
6.2.2	Settlements Against payment	1000 RUB per transaction	
6.2.3	Cancellation or change of the order on the customer's request	as for the new order/transaction	
6.2.4	Change of securities custody upon the depositor's order	700 RUB per transaction	

## 7. REGISTRATION OF THE SECURITIES PLEDGE AND ENCUMBRANCE BY LIABILITIES

No	Operation	Charge	Note
7.1	Blocking of securities under a Client's order	1,500 RUB per transaction	
7.2	Entry of a pledge/subsequent pledge	0.1 % of the transaction amount (minimum 1,500 RUB, maximum 15,000 RUB)	apart from securities used as a collateral for loans granted by EVROFINANCE MOSNARBANK
7.3	Amendments and corrections to the pledge/ subsequent pledge terms and conditions by the client	1,500 RUB per transaction	apart from securities used as a collateral for loans granted by EVROFINANCE MOSNARBANK

## 8. CORPORATE ACTIONS

No	Operation	Charge	Note
8.1	Notification of the client on the issuer's corporate actions	included into account maintenance service	
8.2	Performance of the clients' orders with respect to participation in corporate actions, namely open or closed subscriptions, offers, etc.:		
8.2.1	In case the Depository compiles and submits orders and/or documents in electronic form	1500 RUB	
8.2.2	In case any hard copies of documents (applications, bulletins, statements, contracts, copies of statutory documents, etc.) are submitted	5000 RUB	



№	Operation	Charge	Note
8.3	Securities conversion upon Customer's application	700 RUB	
8.4	Conversion of shares into depository receipts	1500 RUB	
8.5	Dividend payment	included into account maintenance service	
8.6	Interest, coupon yield payment	included into account maintenance service	

## 9. INFORMATION OPERATIONS

№	Operation	Charge	Note
9.1	Provision of reports on completed operations and operations day statements	included into account maintenance service	
9.2	Submission of reports, documents or information relevant to depository operations, namely, provision of historical information, confirmations, etc. upon the clients' requests		
9.2.1	For the current year	300 RUB	per request
9.2.2	For up to five preceding years	3000 RUB	per request
9.3	Submission of a statement from a superior depository, securities owners' register with respect to the depositor's securities on the nominal holder's bank account	700 RUB	per statement
9.4	Submission of information concerning the securities holders owing at last one per cent of voting shares upon the depositor's request	1500 RUB	per request

## 10. FOREIGN FINANCIAL INSTRUMENTS (under Agreement of provision of accounting services for foreign financial instruments, not classified as securities)

№	Operation	Charge	Note
10.1	Maintenance of accounts		
10.1.1	Opening/closing of an account	included in account service	
10.1.2	Service of an account (transactions or security balance at least 1 day a month)	500 RUB/month	plus the VAT (current rate)  including the register of

№	Operation	Charge	Note
			incoming documents, processing of instructions, reporting on securities account operations, extracts from the securities account

29 New Arbat, 121099,

Moscow, Russia

Tel.: (+7 495) 967-8182

Fax (+7 495) 967-8133

E-mail: [info@evrofinance.ru](mailto:info@evrofinance.ru)

[www.evrofinance.ru](http://www.evrofinance.ru)